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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re the Application of:

KIEFER et al.

Serial No.: 09/633,200

Filed: August 7, 2000

Atty. File No.: 4588-1-1-DIV4

For: "NOVEL APOPTOSIS-MODULATING
PROTEINS, DNA ENCODING THE
PROTEINS AND METHODS OF USE
THEREOF"

Group Art Unit:

MAR 21 2001

Examiner:

TECH CENTER 1600/2900

INFORMATION DISCLOSURE
STATEMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
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ADDRESSED TO THE ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, DC 20231 ON 3/16/01
SHERIDAN ROSS P.C.

BY: Kathleen Burrell

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicants hereby submit the enclosed PTO Form 1449. The documents identified with an asterisk were of record in U.S. Patent Application Serial No. 08/320,157, and since the above-identified patent application is a divisional thereof, copies of the identified documents are not being submitted under 37 CFR § 1.98(d). Applicants hereby provide a copy of each of the remaining documents identified on the enclosed PTO Form 1449. Applicants do not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicants that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

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Date: March 16, 2001

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 4588-1-1-DIV4	SERIAL NO. 09/633,200
OIE INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		APPLICANT KIEFER et al.	
		FILING DATE August 7, 2000	GROUP ART

*OIE INFORMATION DISCLOSURE STATEMENT
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
*	1	WO 93/04169	3/4/93	PCT				
*	2	WO 94/00572	1/6/94	PCT				
*	3	WO 95/00160	1/5/95	PCT				
*	4	WO 95/00642	1/5/95	PCT				
*	5	WO 95/05738	3/2/95	PCT				
*	6	WO 95/05750	3/2/95	PCT				
*	7	WO 95/15084	6/8/95	PCT				
*	8	WO 96/05232	2/22/96	PCT (Abstract)				
(AP)	9	WO 96/33416	10/24/96	PCT				
*	10	WO 96/35951	11/14/96	PCT (Abstract)				

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

*	11	Ameisen et al., <i>Immunol. Today</i> , 12:102-105 (1991)	✓
*	12	Barr, <i>Transgenesis</i> , (1992) Murray, J.A.H., ed., Wiley & Sons, New York, pp. 55-79	✓
*	13	Boise et al., <i>Cell</i> , 74:597-608 (1993)	✓
(AP)	14	Bowie et al., <i>Science</i> , 247:1306-1310 (1990)	✓
(AP)	15	Brown, "News Media, Researchers 'Oversold' Gene Therapy, Advisory Panel Says," <i>The Washington Post</i> (1995)	✓
(AP)	16	Buckel, <i>Trends Pharm. Sci.</i> , 17:450-456 (1996)	✓
(AP)	17	Callard et al., <i>The Cytokine FactBook</i> , Academic Press, London, p. 31 (1994)	✓
(AP)	18	Cazals-Hatem et al., <i>Biochimica et Biophysica Acta</i> , 1132:109-113 (1992)	✓

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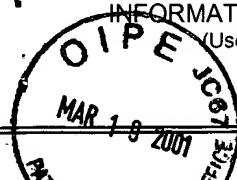
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 4588-1-1-DIV4	SERIAL NO. 09/633,200
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				✓
*	20	Cherif et al., <i>Hum. Genet.</i> , 93:1-6 (1994) ✓		
*	21	Chittenden et al., <i>Nature</i> , 347:733-736 (1995) ✓		
*	22	Chittenden et al., <i>EMBO J.</i> , 14:5589-5596 (1995) ✓		
*	23	Cleary et al., <i>Cell</i> , 47:19-28 (1986) ✓		
<i>DP</i>	24	Coghlan, <i>Focus</i> , 14B:14-15 (1995) ✓		
<i>DP</i>	25	Cohen et al., <i>Ann. Rev. Immunol.</i> , 10:267-293 (1992) ✓		
<i>DP</i>	26	Dorin et al., <i>Gene Ther.</i> , 3:797-801 (1996) ✓		
*	27	Duke et al., <i>Lymphokine Res.</i> , 5:289-299 (1986) ✓		
<i>DP</i>	28	Dzau et al., <i>Proc. Natl. Acad. Sci. USA</i> , 93:11421-11425 (1996) ✓		
*	29	Edgington et al., <i>Biotechnol.</i> , 11:787-792 (1993) ✓		
*	30	Farrow et al., <i>Nature</i> , 374:731-733 (1995) ✓		
*	31	Feinberg et al., <i>Anal. Biochem.</i> , 137:266-267 (1984) ✓		
*	32	Foroud et al., <i>Am. J. Hum. Genet.</i> , 49:1263-1279 (1991) ✓		
*	33	Gershenson et al., <i>FASEB J.</i> , 6:2450-2455 (1992) ✓		
<i>DP</i>	34	Grossman et al., <i>Nat. Genet.</i> , 6:323-324 (1994) ✓		
*	35	Henderson et al., <i>Proc. Natl. Acad. Sci. USA</i> , 90:8479-8483 (1993) ✓		
*	36	Hickish et al., <i>Cancer Research</i> , 54:2808-2811 (1994) ✓		
*	37	Hockenberry et al., <i>Nature</i> , 348:334-336 (1990) ✓		
*	38	Hockenberry et al., <i>Cell</i> , 75:241-251 (1993) ✓		
*	39	Jacobson et al., <i>Nature</i> , 361:365-369 (1993) ✓		
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*	41	Kapp et al., <i>Am. J. Hum. Genet.</i> , 51:45-54 (1992) ✓		
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*	44	Khati et al., <i>Neurology</i> , 43:1131-1137 (1993) ✓		
*	45	Kiefer et al., <i>Biochem. Biophys. Res. Commun.</i> , 176:219-225 (1991) ✓		
*	46	Kiefer et al., <i>Nature</i> , 374:736-739 (1995) ✓		
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*	49	Lam et al., <i>Proc. Natl. Acad. Sci. USA</i> , 91:6569-6573 (1994) ✓		
*	50	Lehrach et al., <i>Biochem.</i> , 16:4743-4751 (1977) ✓		

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51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81
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	Oltvai et al., <i>Cell</i> , 74:609-619 (1993)																													
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	Orr et al., <i>Nature Genetics</i> , 4:221-226 (1993)																													
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	Reeck, <i>Cell</i> , 50:667 (1987)																													
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	Tomei et al., <i>Biochem. Biophys. Res. Commun.</i> , 155:324-331 (1988)																													
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	Viegas-Péquignot, <i>In Situ Hybridization. A Practical Approach</i> , D.G. Wilkinson, ed., IRL Press, Oxford, pp. 137-159																													
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